



01/09/03  
2181  
PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	10/037,655
Filing Date	January 2, 2002
First Named Inventor	Jianhui Li
Group Art Unit	2181
Examiner Name	Unassigned

Total Number of Pages in This Submission

6

Attorney Docket Number

42390P13146

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### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div style="border: 1px solid black; padding: 5px;">PTO/SB/08 with one cited reference.</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ronald S. Tamura, Reg. No. 43,179  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 21, 2002

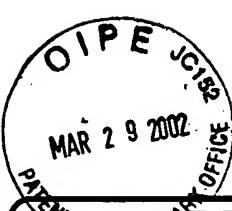
### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 21, 2002

Typed or printed name	Laura R. Robles		
Signature		Date	March 21, 2002

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10903

# FEET TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$)

Complete if Known

Application Number	10/037,655
Filing Date	January 2, 2002
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Examiner Name	Unassigned
Group/Art Unit	2181
Attorney Docket No.	42390P13146

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## METHOD OF PAYMENT (check one)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of the application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet.
139	130	139	Non-English specification
147	2,520	147	For filing a request for ex parte reexamination
112	920 *	112	Requesting publication of SIR prior to Examiner action
113	1,840 *	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for reply within first month
116	400	216	Extension for reply within second month
117	920	217	Extension for reply within third month
118	1,440	218	Extension for reply within fourth month
128	1,960	228	Extension for reply within fifth month
119	320	219	Notice of Appeal
120	320	220	Filing a brief in support of an appeal
121	280	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive - unavoidable
141	1,280	241	Petition to revive - unintentional
142	1,280	242	Utility issue fee (or reissue)
143	460	243	Design issue fee
144	620	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	Request for Continued Examination (RCE)
169	900	169	Request for expedited examination of a design application
Other fee (specify)			

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
60	6	** = <input type="text"/> X <input type="text"/> = <input type="text"/>		
		= <input type="text"/> X <input type="text"/> = <input type="text"/>		
		= <input type="text"/> X <input type="text"/> = <input type="text"/>		

Multiple Dependent

Large Entity	Small Entity	Fee Description	
Fee Code	Fee Code	Fee	
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple Dependent claim, if not paid
109	84	209	42 **Reissue independent claims over original patent
110	18	210	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see below

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Ronald S. Tamura	Registration No. (Attorney/Agent)	43,179	Telephone	(714) 557-3800
Signature				Date	03/21/02

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Docket No.: 42390P13146

#2  
01/09/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JIANHUI LI, ET AL.

Application No.: 10/037,655

Filed: January 2, 2002

For: **Tracking Format Of Registers Having  
Multiple Content Formats In Binary  
Translation**

Art Group: 2181

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Examiner: Unassigned

APR 03 2002

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

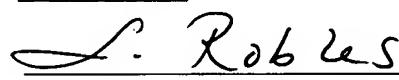
  
Ronald S. Tamura, Reg. No. 43,179

Date: March 21, 2002

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(714) 557-3800

**CERTIFICATE OF MAILING**

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Laura Robles 03/21/02  
Date

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p> <table border="1"> <tr> <td>Application Number</td> <td>10/037,655</td> <td>RECD</td> </tr> <tr> <td>Filing Date</td> <td>January 2, 2002</td> <td>APR</td> </tr> <tr> <td>First Named Inventor</td> <td>Jianhui Li</td> <td>Technology</td> </tr> <tr> <td>Group Art Unit</td> <td>2181</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>42390P13146</td> <td></td> </tr> </table>		Application Number	10/037,655	RECD	Filing Date	January 2, 2002	APR	First Named Inventor	Jianhui Li	Technology	Group Art Unit	2181		Examiner Name	Unassigned		Attorney Docket Number	42390P13146	
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Group Art Unit	2181																						
Examiner Name	Unassigned																						
Attorney Docket Number	42390P13146																						
Sheet	1	of	1																				

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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